

PATENT

Docket: P-11209.05

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Walter L. Carpenter, et al	)	Art Unit: 3761
Serial No.:	10/743,357	)	Examiner: Leslie R. Deak
Filed:	12-22-2003	)	
For:	EXTRACORPOREAL BLO	OD CIR	CUIT PRIMING SYSTEM AND METHOD

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

This information disclosure statement includes some documents from the pending *Cardiovention v. Medtronic* lawsuit in Federal Court in Minnesota. Cardiovention has said the following in court papers:

Cardiovention first presented the CORx disposable IOS without a confidentiality agreement at a trade show on January 24, 2002, accompanied by a short animated video. Later,

similar information regarding the CORx disposable was placed on CardioVention's website. CardioVention first sold the CORx disposable IOS internationally to a German distributor in November 2001. However, the CORx disposable IOS was not offered or sale to the public in Europe until March 2002 and first sold in the United States on April 17, 2002, after it received FDA clearance.

Enclosed are machine translations (uncertified) of Italian patent application Nos. MO2005A000243 and MO2005A000244.

Please charge the fee of \$180.00 pursuant to 37 C.F.R. Section 1.17(p) for the submission of this supplemental information disclosure statement to Deposit Account No. 13-2546.

Respectfully submitted,

Walter L. Carpenter, et al By their Representatives,

Ieffrey Hohenshe

Attorney for Applicant Registration No. 34,109

Telephone: (763) 391-9661

Customer No.: 27581

Page 1 of 3

INFORMATION

Atty. Docket No.: P-11209.05

STATEMENT

Applicant(s): Carpenter et al

Filing Date: 12-22-2003

Group: 3761

U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	4,185,629	01-29-1980	Cullis et al.			
	4,710,165	12-01-1987	McNeil et al.			
	5,540,653	07-30-1996	Schock			
	5,984,892	11-16-1996	Bedingham			
	6,299,589	10-09-2001	Utterberg			
	6,306,346	10-23-2001	Lindsay			
	6,495,366	12-17-2002	Briggs			
	6,607,698	08-19-2003	Spears et al.			
	6,824,524	11-30-2004	Favre			
	6,890,316	05-10-2005	Rawles et al.			
	6,946,099	09-20-2005	Vijay et al.			
	7,022,284	04-04-2006	Brian, et al.			
	2002/0044889	04-18-2002	Aboul-Hosn et al.			
	2004/0195178	10-07-2004	Carpenter et al.			
	2004/0197223	10-07-2004	Olsen et al.			
	2004/0220509	11-04-2004	Olsen et al.			
	2004/0217054	11-04-2004	Olsen et al.			
	2005/0063860	03-24-2005	Carpenter et al.			

THE DAMENIT DOOLD TENING

## FOREIGN PATENT DOCUMENTS

Document Number	Date Country	Country	Class	SubClass	Translation	
					Yes	No
1 374 929	01-02-2004	EP				
2002/26288	04-04-2002	WO				
2005/067998	07-28-2005	WO				
 MO2005A000244		IT			(uncertified machine)	
MO2005A000243		IT			(uncertified machine)	

## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Declaration of Jorge Ojito in the matter of United States District Court, District of Minnesota, Civil File No. 04-CV-02669, CardioVention, Inc. v. Medtronic, Inc., executed November 22, 2005.

**EXAMINER** 

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (Also form PTO-1449)

Patent and Trademark Office, U.S. Department of Commerce

Page 2 of 3

INFORMATION		Atty. Docket	No.: P-11209.05	Serial No.: 10/743,357		
DISCL	DISCLOSURE					
STATI	STATEMENT		Applicant(s): Carpenter et al			
			2-22-2003	Group: 3761		
ОТНЕ	CR DOCUMENT	S (Including A	authors, Title, Date, P	ertinent Papers, etc.)		
	Curriculum Vitae of Jorge Ojito, Exhibit 1 to the Declaration of Jorge Ojito executed No 2005 (5 pages).					
	executed Novemb	enous Pull Circuit dated July 1997, Exhibit 2 to the Declaration of Jorge Ojito per 22, 2005 (1 page).				
		tsura U.S. Patent No. 4,919,802, issued on April 24, 1990, Exhibit 3 to the ege Ojito executed November 22, 2005 (2 pages).				
	Advertising brochure from COBE Cardiovascular for the Century Perfusion System circa 1997, Exhibit 4 to the Declaration of Jorge Ojito executed November 22, 2005 (4 pages).					
	Exhibit 5 to the Do	eclaration of Jorge	Ojito executed November 2			
	Picture of the Vene 22, 2005 (1 page).		xhibit 6 to the Declaration of	f Jorge Ojito executed November		
	Ojito, et al., "Assisted Venous Drainage Cardiopulumonary Bypass in Congenital Heart Surgery," Ann. Thorac. Surg., 2001;71:1267-72, Exhibit 7 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).					
	Custom Tubing Pack Specification, Exhibit 8 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).					
	Medtronic Cardiopulmonary personnel business cards, Exhibit 9 to the Declaration of Jorge Ojito executed November 22, 2005 (1 page).					
	Circulacion Extracorporea presentation brochure, Exhibit 10 to the Declaration of Jorge Ojito executed November 22, 2005 (4 pages).					
	Certificate of parti November 22, 200		ito, Exhibit 11 to the Decl	aration of Jorge Ojito executed		
	AmSECT Region XI Annual Fall Meeting agenda, Exhibit 12 to the Declaration of Jorge Ojito executed November 22, 2005 (2 pages).					
	PowerPoint presentation regarding Assisted Venous Drainage Cardiopulmonary Bypass: Safety and Efficacy in Congenital Heart Surgery, Jorge W. Ojito, Exhibit 13 to the Declaration of Jorge Ojito executed November 22, 2005 (48 pages).					
	United States Patent 6,302,860, Exhibit 14 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).					
	United States Patent 6,524,267, Exhibit 15 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).					
	Gremel I patent comparison chart, Exhibit 16 to the Declaration of Jorge Ojito executed November 22, 2005 (4 pages).					
	Gremel II patent comparison chart, Exhibit 17 to the Declaration of Jorge Ojito executed November 22, 2005 (5 pages).					
EXAMINER			Date Considered			
*Examiner: Initial is conformance and no	f reference considered, v t considered. Include co	whether or not citation opy of this form with n	n is in conformance with MPEP text communication to applicant	609; Draw line through citation if not in		
Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce						

Based on Form PTO-FB-A820 (Also form PTO-1449)

Page 3 of 3

INFORMATION	Atty. Docket No.: P-11209.05	Serial No.: 10/743,357				
DISCLOSURE						
STATEMENT	Applicant(s): Carpenter et al					
	Filing Date: 12-22-2003	Group: 3761				
OTHER DOCUMEN	ΓS (Including Authors, Title, Date, P	ertinent Papers, etc.)				
Cardio-thoracic S	new concept of integrated cardiopulmonary bypass circuit," European Journal of urgery 21 (2002) 840-846.					
Morita, et al., "Closed Circuit Cardiopulmonary Bypass with Centrifugal Pump for Open-Hea Surgery: New Trial for Air Removal," Artificial Organs 2000; 24(6):442-445.						
Jegger, et al., "Introduction & Description of CardioVention's CORx System. A replacement technology for traditional cardiopulmonary bypass," Dept of Cardiovascular Surgery, Centre Hospitalier Universitaire Vaudois (CHUV), Switzerland (9 pages).						
	se CORx System, CardioVention, Inc., Santa C					
Civil File No. 04	Complaint in CardioVention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (16 pages).					
District of Minne	Declaration of Ben F. Brian, Ph.D., in CardioVention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (13 pages).					
CardioVention, I   04-CV-02669 (1	Plaintiff's Answers and Objections to Defendant's First Set of Interrogatories to Plaintiff in CardioVention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (11 pages).					
District of Minne	ndum of Law & Order in CardioVention, Inc. v. Medtronic, Inc., U.S. District Court, of Minnesota, Civil File No. 04-CV-02669 (18 pages).					
	CardioVention CORx System 510(k) Summary dated June 16, 2001 (8 pages).					
Circuit for Minin	Matayoshi, et al., "Development of a Completely Closed Circuit Using an Air Filter in a Drainage Circuit for Minimally Invasive Cardiac Surgery," Artificial Organs, 24(6):454-458, 2000.					
	"High-flow femoro-femoral bypass utilizing srous side," Perfusion 1992; 7:295-300.	nall cannulae and a centrifugal				
Hatteland, "Dopp	Hatteland, "Doppler Ultrasound Application in Cardiology and Cardiac Surgery," from the Thoracic Surgical Clinic, Karolinska Institutet, Stockholm, Sweden, 1985 (6 pages).					
Memorandum in Support of Plaintiff's Motion to Compel Production of Documents in CardioVention, Inc. v. Medtronic, Inc., U.S. District Court, District of Minnesota, Civil File No. 04-CV-02669 (22 pages).						
EXAMINER	Date Considered					

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.